## Identification of Specific Sites of Hormonal Regulation in Spermatogenesis in Rats, Monkeys, and Man

R.I. McLachlan,\* L. O'Donnell,\* S.J. Meachem,\* P.G. Stanton,\* D.M. de Kretser,† K. Pratis,\* and D.M. Robertson\*

\*Prince Henry's Institute of Medical Research, Monash Medical Centre, Clayton, Victoria, 3168, Australia; †Monash Institute of Reproduction and Development, Monash University, Monash Medical Centre, Clayton, Victoria, 3168, Australia

#### ABSTRACT

A detailed understanding of the hormonal regulation of spermatogenesis is required for the informed assessment and management of male fertility and, conversely, for the development of safe and reversible male hormonal contraception. An approach to the study of these issues is outlined based on the use of well-defined in vivo models of gonadotropin/androgen deprivation and replacement, the quantitative assessment of germ cell number using stereological techniques, and the directed study of specific steps in spermatogenesis shown to be hormone dependent. Drawing together data from rat, monkey, and human models, we identify differences between species and formulate an overview of the hormonal regulation of spermatogenesis. There is good evidence for both separate and synergistic roles for both testosterone and follicle-stimulating hormone (FSH) in achieving quantitatively normal spermatogenesis. Based on relatively selective withdrawal and replacement studies, FSH has key roles in the progression of type A to B spermatogonia and, in synergy with testosterone, in regulating germ cell viability. Testosterone is an absolute requirement for spermatogenesis. In rats, it has been shown to promote the adhesion of round spermatids to Sertoli cells, without which they are sloughed from the epithelium and spermatid elongation fails. The release of mature elongated spermatids from the testis (spermiation) is also under FSH/testosterone control in rats. Data from monkeys and men treated with steroidal contraceptives indicate that impairment of spermiation is a key to achieving azoospermia. The contribution of  $5\alpha$ -reduced androgens in the testis to the regulation of spermatogenesis is also relevant, as  $5\alpha$ -reduced androgens are maintained during gonadotropin suppression and may act to maintain low levels of germ cell development. These concepts are also discussed in the context of male hormonal contraceptive development.

#### I. Introduction

Despite impressive technical advances in human reproductive medicine (e.g., assisted reproductive technologies, new drugs, and recombinant hormones), there remain many important questions about male fertility regulation. In the area of spermatogenesis, such deficiencies exist in the areas of hormonal male contraception, complete spermatogenic failure, damage from toxic/environmen-

tal agents, and in understanding hypothalamo-pituitary-testicular relationships in infertile or aging men.

We have focused on the hormonal regulation of spermatogenesis with a view to testing, whenever possible, observations made in lower mammals (primarily rodents) for their relevance to man. In this review, we will provide an overview of the hormonal regulation of spermatogenesis in all these species, drawing together common features and highlighting important differences, particularly in regard to monkeys and man.

#### A. OVERVIEW OF THE HYPOTHALAMIC-PITUITARY-TESTIS AXIS

The production of spermatozoa (fertility) and the secretion of testosterone (virility) by the testis are both dependent on stimulation by the pituitary gonadotropins, follicle-stimulating hormone (FSH) and luteinising hormone (LH), which are secreted in response to hypothalamic gonadotropin-releasing hormone (GnRH). Testosterone (T), which is essential for the initiation and maintenance of spermatogenesis, is secreted by the adult Leydig cell under LH stimulation. Testosterone acts via androgen receptors (ARs) on Sertoli, Leydig, and peritubular cells. The fact that T exerts its effects on somatic cells rather than germ cells was highlighted by recent germ cell transplantation studies (Johnston et al., 2001) in which spermatogonia from AR-deficient animals developed into spermatozoa in wild-type recipients. FSH acts via specific G protein-coupled surface receptors located exclusively on Sertoli cells. FSH has a key role in the development of the immature testis, particularly by controlling Sertoli cell proliferation (Orth, 1993). Following many conflicting data in animal and human models, there is now general agreement that some degree of complete spermatogenesis can be initiated and maintained in the apparent absence of FSH. However, quantitatively normal spermatogenesis in adulthood is dependent on FSH, certainly in man and monkeys. FSH secretion is regulated by negative feedback from the testicular hormone, inhibin B, and through testosterone, either alone or by its aromatisation to estradiol (Hayes *et al.*, 2001).

### B. OVERVIEW OF SPERMATOGENESIS AND APPROACH TO ITS STUDY

Many *in vitro* and *in vivo* model systems have been used to study regulation of spermatogenesis by FSH and T, each with varying strengths and weaknesses. Often, reports using these models extrapolate the principle findings to other species, with limited justification. In addition, conclusions may be affected by whether the model system is one of congenital deficiency of hormone secretion or action, as opposed to one involving spermatogenic restoration or maintenance in adulthood. The degree of gonadotropin deficiency may be difficult to establish due to the limited sensitivity of gonadotropin assays used in many test species.

Finally, the descriptions of the changes in germ cell populations may be only qualitative, while properly validated quantitative methods (stereological methods) are preferred. Before undertaking a review of the effects and sites of hormone action on spermatogenesis, we will briefly highlight some aspects of the underlying physiology and the approaches to its study.

Spermatogenesis involves four basic processes: spermatogonial development (stem cell and subsequent cell mitotic divisions), meiosis (DNA synthesis and two meiotic divisions to yield haploid spermatids), spermiogenesis (spermatid development involving differentiation of head and tail structures), and spermiation (the process of release of mature sperm into the tubule lumen). These occur along similar lines in all mammals and are well described at the morphological level (Leblond and Clermont, 1952; Clermont, 1972; de Kretser and Kerr, 1988; Russell et al., 1990). In rodents and some primate species (e.g., Macaca fascicularis), germ cell development occurs in orderly and recognizable cell associations (or stages) along the seminiferous tubule, such that a single stage can be seen within a tubule cross section (Russell et al., 1990). However, in humans and some other primates (e.g., marmosets), the stages are arranged in an intertwining helical pattern such that a single tubule cross section may have up to six identified stages represented (Schulze and Rehder, 1984). Such an arrangement makes the systematic stage-based counting of germ cell populations, as well as the stage-specific detection of proteins or mRNA species of interest, difficult, although possible.

### C. THE STEREOLOGICAL APPROACH TO THE STUDY OF SPERMATOGENESIS

Relative to primates, a greater range of experimental paradigms are available in rodents for the study of the relative contributions of FSH and LH/T. The ease of obtaining testicular tissue in lower mammals for quantitative analyses has made it easier to ascribe specific FSH or T effects to particular stages or germ cell types. To provide quantitative data on germ cell number, we have focused on the use of unbiased stereological approaches, particularly the thick section optical disector model, in combination with a systematic random sampling scheme (for a review, see Wreford, 1995). This procedure involves the visualisation of complete cell profiles in thick (25  $\mu$ m) sections and has the particular advantage of allowing assessment of irregularly shaped cellular forms, such as differentiating spermatids and spermatozoa, which was not possible with earlier geometric-based methods. In our studies, we have expressed the data as germ cell number per testis. In primates and man, where biopsies are normally used, data are expressed on a per Sertoli cell basis, as the number of Sertoli cells does not alter in response to hormonal manipulation in either primates (Zhengwei et al., 1998c) or humans (Zhengwei et al., 1998b). The expression of data on a

per-tubule cross-sectional basis is not appropriate as a general rule, as a reduction in tubule volume is seen following hormonal withdrawal (Zhengwei *et al.*, 1998c).

Inherent in the interpretation of germ cell data derived by stereological techniques is the knowledge that the duration of spermatogenesis under the various treatments proposed is known and that it remains unchanged. Animal models based on bromodeoxyuridine or tritiated thymidine labeling have been used to determine the duration of the spermatogenic cycle in monkey and humans. The duration of one cycle of the seminiferous epithelium is  $\approx 10$  days in monkeys (de Rooij et al., 1986; Aslam et al., 1999) and 16 days in the human (Heller and Clermont, 1963). Rodent, primate, and human data suggest that the duration of spermatogenesis cannot be altered by modulation of the gonadotropin environment (e.g., hypophysectomy) (Clermont and Harvey, 1965) or by GnRHantagonist treatment of rats or cynomolgus monkeys (Aslam et al., 1999). Our studies in testosterone-treated cynomolgus monkeys suggest that stage frequency (which approximates stage duration) is not affected by the resulting gonadotropin suppression (O'Donnell et al., 2001a). Limited studies in man also suggest that the length of the spermatogenic cycle is not affected by hormone treatment (Heller and Clermont, 1964).

#### II. Models Used to Explore Rat Spermatogenesis

#### A. MODELS OF TESTOSTERONE DEFICIENCY

The concentration of testosterone in the rat testis is normally 50-fold higher than that in serum. It exerts a biphasic effect on spermatogenesis by both inhibiting and promoting the process *in vivo*, depending on the dose administered (Walsh and Swerdloff, 1973; Sun *et al.*, 1989; Zirkin *et al.*, 1989). Administration of a low dose of T, often as 2.5- to 3-cm T-filled Silastic implants, in combination with a low dose of estradiol (E) (0.1- to 0.4-cm implant, TE implants) causes slightly supraphysiological circulating T levels that suppress LH, but not FSH secretion (Awoniyi *et al.*, 1989b,1990; McLachlan *et al.*, 1994a; O'Donnell *et al.*, 1994). Accordingly this "TE model" is one of isolated LH/T suppression, a response that is peculiar to rats, and a fact that underlies the very different spermatogenic response to similar treatments in primates (see below). Restoration of sperm production occurs in a dose-responsive manner by the administration of higher doses of T (Awoniyi *et al.*, 1989b,1990; McLachlan *et al.*, 1994a; O'Donnell *et al.*, 1994).

The administration of TE implants to adult rats for 6–12 weeks causes the suppression of testicular T levels to approximately 3% of normal (O'Donnell *et al.*, 1994,1999) and testicular elongated spermatid production ceases (McLachlan *et al.*, 1994a; O'Donnell *et al.*, 1994). Quantitation of testicular germ cell

populations during TE suppression and high-dose T restoration has allowed an understanding of the sites of T action in germ cell development in the presence of FSH (McLachlan *et al.*, 1994a; Meachem *et al.*, 1998). Using the *optical disector* stereological approach, studies in TE-treated rats show that spermatogonia and early spermatocytes are suppressed to  $\approx 80\%$  of control, with less suppression of pachytene spermatocytes in stages I-VIII ( $\approx 60\%$  of control) and pachytene spermatocytes in later stages ( $\approx 33\%$  of control) (Figure 1) (Meachem *et al.*, 1997,1998). Early round spermatids in stages I-VII are suppressed to  $\approx 20\%$  of normal, yet round spermatids in stage VIII are more markedly suppressed to 5% of normal and elongated spermatids are undetectable (Meachem *et al.*, 1998). Spermatogenesis can be restored to near-normal levels with the replacement of higher-dose T implants (McLachlan *et al.*, 1994a).

FSH is also important in maintaining germ cell development in the TE model. During the restoration of spermatogenesis using higher-dose T implants, the co-administration of an FSH antibody (see section II-B) to suppress the action of FSH results in significant reductions in various germ cells, most notably, spermatocytes and early spermatids (Meachem *et al.*, 1998). As will be discussed below, there is evidence for complementary and synergistic effects of FSH and T on germ cell development.

These stereological studies have identified a progressive decline in germ cell number throughout spermatogenesis with T withdrawal. It has become apparent that sperm release (spermiation) is also affected by this treatment; instead of detaching from the epithelium, mature spermatids are retained by the Sertoli cell, then phagocytosed and thus fail to spermiate. The appearance of mature spermatids retained within the seminiferous epithelium after hormone suppression is well known (Russell and Clermont, 1977; Russell, 1991). We recently used TE suppression and stereological techniques to quantify the extent to which sperm fail to be released and showed that 16%, 45%, 70%, and 97% of sperm failed to be released after 1, 2, 3, and 4 weeks of TE treatment, respectively (Saito et al., 2000). After 6 weeks of treatment, however, earlier germ cell populations decreased to a point where no elongated spermatids are produced and thus disruptions to spermiation are not as evident in chronic suppression models (Figure 1). Therefore spermiation failure is an early feature of gonadotropin suppression in the rat (Saito et al., 2000), although is a feature of both acute and chronic gonadotropin suppression in primates (Section III).

### B. ACTIVE IMMUNISATION AGAINST GNRH OR GNRH ANTAGONIST TREATMENT IN RATS

The loss of GnRH action following either of these modalities results in severe combined FSH and LH deficiency, with serum FSH levels falling to below the limit of assay detection and intratesticular T levels to  $\approx 1-2\%$  of control,

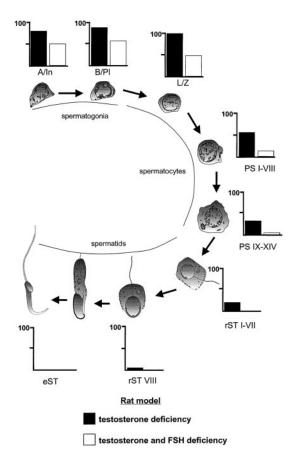


FIG. 1. Comparison of germ cell populations in rats after long-term testosterone (T) suppression or long-term suppression of both T and FSH. Germ cell development from immature spermatogonia through to elongated spermatids is shown, together with stereological data on each germ cell population. Germ cell numbers per testis were determined by the optical disector technique and are expressed as a percentage of an untreated control group. Data were adapted from a previous study (Meachem et al., 1998). The model of T deficiency utilised adult rats (n = 6) given 3-cm T and 0.4-cm E implants for 9 weeks to cause the suppression of LH to undetectable levels (O'Donnell et al., 1994) and testicular T to <3% of controls (O'Donnell et al., 1994; Meachem et al., 1998). Serum FSH levels in this model are either slightly or not significantly altered (O'Donnell et al., 1994,1996a; Meachem et al., 1998). Thus, this is a model primarily of LH/T deficiency. The model of T and FSH deficiency utilised adult rats (n = 6) administered a GnRH immunogen for 3 months to suppress LH and FSH to undetectable levels (McLachlan et al., 1994b) and testicular T to <4% of controls (Meachem et al., 1998). Abbreviations: A, type A spermatogonia; In, intermediate spermatogonia; B, type B spermatogonia; Pl, preleptotene spermatocytes; L, leptotene spermatocytes; Z, zygotene spermatocytes; PS I-VIII, pachytene spermatocytes in stages I-VIII; PS IX-XIV, pachytene spermatocytes in stages IX-XIV; rST I-VII, round spermatids in stages I-VII; rST VIII, round spermatids in stage VIII; eST, elongated spermatids.

resulting in severe spermatogenic impairment (Sinha Hikim and Swerdloff, 1993; McLachlan et~al., 1994b; Kangasniemi et~al., 1995). While this may appear a good model for the study of selective FSH and LH/T replacement, in rats, the restoration of serum T by exogenous LH or T treatment also normalises serum FSH (McLachlan et~al., 1994b) by a direct action on pituitary FSH $\beta$  secretion (Wierman and Wang, 1990). Thus, to study the effects of LH/T on spermatogenesis, simultaneous neutralisation of serum FSH must be achieved, such as by passive FSH immunisation (Meachem et~al., 1998). We have shown that passive immunisation of adult rats with an FSH antiserum for 7 days by subcutaneous (sc) daily injection at a dose of 2 mg/kg rat results in immunoabsorption and neutralisation of at least 90% of circulating FSH, with no changes in serum or testicular T levels. However, it cannot be ruled out that lower levels of biologically active FSH are still circulating. Since rats rapidly develop neutralising antibodies to the antisera using this approach, only short-term effects of FSH withdrawal can be studied (i.e.,  $\approx$ 8 days).

Spermatogenic failure after GnRH immunisation is characterised by the abolition of round spermatids beyond stage VII, with the numbers of earlier germ cells being severely reduced (Awoniyi et al., 1989a; Sinha Hikim and Swerdloff, 1993; McLachlan et al., 1994b). Three months of GnRH immunisation results in spermatogonial number being reduced to  $\approx$ 50% of normal (Figure 1). Interestingly, spermatogonial number does not fall further, suggesting that only part of the spermatogonial population is regulated by gonadotropins, although it is possible that residual FSH and testicular T may provide some support. Major losses are also seen during spermatocyte development with early spermatocytes (leptotene-zygotene), pachytene spermatocytes in stages I-VIII, and pachytene spermatocytes in stages IX-XIV, with reductions to 45%, 13%, and 4% of control, respectively (McLachlan et al., 1995; Meachem et al., 1998) (Figure 1). Round spermatids are markedly reduced to <1% of control and elongated spermatids are not seen. When compared to the TE model (LH/T deficiency), there is a more-marked loss of spermatogonia and spermatocytes, probably due to the effects of FSH withdrawal on spermatogonial proliferation/survival and germ cell apoptosis in the GnRH-immunised model (Figure 1).

In GnRH-immunised animals, the spermatogenic process can be restored to normal (Awoniyi *et al.*, 1989a) or near normal by T treatment (24-cm Silastic implants) (McLachlan *et al.*, 1994b), as determined by elongated sperm content of the testis. We have prevented the T-induced restoration of serum FSH levels in GnRH-immunised rats by co-treatment with an FSH antiserum, thereby enabling the study of the effects of T alone on the restoration of spermatogenesis (Meachem *et al.*, 1998). Treatment with FSH antiserum blocked the ability of T to restore spermatogenic cell populations, suggesting that FSH is required for the initial phase of spermatogenic restoration in adult rats following chronic gonadotropin suppression (Meachem *et al.*, 1998).

We have investigated the restorative effects of FSH on germ cell populations by the administration of recombinant human FSH (rhFSH) after gonadotropin suppression. It is clear from such studies that FSH plays a major role in spermatogonial development, as FSH promptly restores spermatogonial number to normal levels after 7 days, while a partial restoration of spermatocyte and spermatid number was observed (Meachem *et al.*, 1998). When rhFSH was administered for up to 14 days, very few round spermatids underwent elongation and mature, elongated (step 15–19) spermatids were almost never seen (McLachlan *et al.*, 1995), supporting the need for T in spermatid elongation. Further studies are required to determine the long-term effects of FSH on spermatogenic restoration but would require the availability of recombinant rat FSH to counter the bioneutralisation of administered heterologous FSH.

In summary, chronic  $T \pm FSH$  suppression results in disordered spermatogonial development and disordered progression through meiosis and spermatid development, with evidence for FSH-specific effects on spermatogonia and of T-specific effects on spermiogenesis in stages VII-VIII.

#### C. MODELS EXPLORING THE ACUTE WITHDRAWAL OF HORMONES

Acute suppression models, such as hypophysectomy and GnRH antagonist treatment, have also been useful in clarifying the effects of FSH and T on germ cell development and have been used to demonstrate the role of these hormones in the maintenance of germ cell viability. Acute gonadotropin suppression results in germ cell death, particularly in stages VII and VIII (Russell and Clermont, 1977; Sinha Hikim and Swerdloff, 1993) via the apoptotic pathway (Sinha Hikim et al., 1995; Sinha Hikim and Swerdloff, 1999). Apoptosis is particularly evident in preleptotene and pachytene spermatocytes in stages VII-VIII, which would account for the marked losses during spermatocyte development after chronic suppression of either T alone or of FSH and T in rats (Figure 1).

It seems clear from various studies that apoptosis/viability of germ cells can be regulated by FSH and/or T. Germ cell death/apoptosis can be prevented by either T or FSH, with both hormones having a synergistic effect, suggesting that germ cell death in the seminiferous epithelium is regulated by T and FSH via similar pathways (Russell *et al.*, 1987; Tapanainen *et al.*, 1993; El Shennawy *et al.*, 1998). While acute FSH and T suppression certainly causes an increase in the appearance of degenerating/apoptotic germ cells (Russell and Clermont, 1977), this may or may not lead to significant changes to the viable cell population. For example, 1 week of gonadotropin suppression induced by a GnRH antagonist treatment caused significant decreases in germ cell numbers in stage VII (Sinha Hikim and Swerdloff, 1993), yet 1 week of gonadotropin suppression induced by TE treatment in combination with an FSH antibody did not produce a significant fall in germ cells in this stage (Saito *et al.*, 2000).

Acute models of gonadotropin suppression have revealed that synergistic effects of FSH and T are evident when one considers spermiation. We have shown that suppression of either FSH or T for 1 week caused 10–15% of spermatids to be retained. Yet, when both hormones were withdrawn, a more-marked failure (50%) of spermiation was seen (Saito *et al.*, 2000), suggesting that spermiation is regulated by FSH and T, with both hormones having a synergistic effect. Such studies support earlier observations on the ability of LH and FSH to prevent the retention of mature spermatids (Russell and Clermont, 1977).

An important model to investigate the acute suppression of spermatogenesis is the acute and selective disruption of FSH action in rats *in vivo* by immunoneutralisation of the FSH protein, which has enabled us to pinpoint sites in the spermatogenic process that are sensitive to FSH withdrawal (Meachem *et al.*, 1999). We observed a time-dependent decline in early germ cell populations in normal rats after FSH antibody (Ab) treatment, demonstrating that FSH plays a major role in spermatogonial development, particularly in the maturation of type A3/A4 spermatogonial subtypes. Loss of spermatocytes and spermatids after 8.5 days of FSH withdrawal demonstrated that FSH also supports germ cell maturation in midstages of spermatogenesis (Meachem *et al.*, 1999), probably by supporting germ cell viability. As mentioned above, 15% of spermatids fail to spermiate following 1 week of FSH Ab treatment (Saito *et al.*, 2000). Thus, these short-term studies highlight the importance of FSH for quantitatively normal spermatogenesis. The precise mechanisms by which FSH acts on each phase of germ cell development are yet to be elucidated.

#### D. OTHER EXPERIMENTAL PARADIGMS

While we have approached the issue of the regulation of spermatogenesis using hormonal manipulation in adult rats, other approaches using congenital or transplantation techniques have been described in the past 10 years that also provide novel insights and are briefly described below for completeness. As with all such models of congenital deficiency, they provide valuable insight into the roles of the gonadotropins during sexual development and in the initiation of spermatogenesis. Their utility in studying the control of spermatogenesis in the adult, however, is reduced due to the likelihood of defects in reproductive development during the fetal and postnatal period, which could confound observations in adult animals.

Knockout mouse models have been produced for the key components of the hypothalamo-pituitary-testicular axis, including the GnRH gene (hpg), FSH and LH receptors, FSH and LH $\beta$  subunits, estrogen receptors, and the aromatase enzyme. The reproductive phenotypes of such transgenic animals have been the subject of recent reviews and thus will not be considered here (Huhtaniemi and Bartke, 2001; O'Donnell *et al.*, 2001b). Germ cell transplantation models are

based on the transplantation of spermatogonial stem cells from wild-type or factor-deficient animals into infertile hetero- or homozygous recipients. This innovative approach to the study of spermatogonia has been recently reviewed (McLean *et al.*, 2001; Meachem *et al.*, 2001).

#### III. Models Used to Explore Human and Monkey Spermatogenesis

Exogenous T markedly suppresses both FSH and LH in primates and profoundly impairs spermatogenesis, thereby providing a steroidal basis for male hormonal contraception (see below). The addition of progestin also appears to accelerate and perhaps augment the degree of gonadotropin withdrawal (Handelsman et al., 1996; Meriggiola and Bremner, 1997). Using ultra-sensitive assays, serum LH is found to be <0.3% of control but FSH remains detectable at 1–2% (Robertson et al., 2001). This residual FSH secretion appears to be constitutive (i.e., GnRH independent). Exogenous T does not result in a restoration of serum FSH as in rats; thus, both exogenous LH (in the form of human chorionic gonadotropin (hCG)) and/or FSH can be administered to study their effects on spermatogenesis (Matsumoto and Bremner, 1989). An interesting difference exists between man and monkeys in regard to testicular T levels in response to such treatment, as T levels fall to ≈2% of normal in human (McLachlan et al., in press) but to only  $\approx 25\%$  of normal (and many-fold those in serum) in monkeys (Weinbauer et al., 1988; Zhengwei et al., 1998c). This implies a substantial degree of LH-independent androgen secretion in monkeys.

A feature of many T-based contraceptive formulations in man has been the variable induction of azoospermia, ranging from 70 to 95%, depending on the regimen and ethnic group under study (World Health Organization, 1990,1996; Meriggiola *et al.*, 1996; Martin *et al.*, 2000). While sperm counts less than 3 million/ml may provide adequate contraception (World Health Organization, 1996), there is a general consensus that the reliable induction of azoospermia is important to ensure contraceptive efficacy and the widespread acceptance of male hormonal contraception. An understanding of the biological basis for the variable response is essential to this goal.

In order to pursue these issues, we have undertaken a series of studies in man and monkeys aimed at understanding which sites in spermatogenesis are affected by gonadotropin withdrawal and whether various aspects of proposed contraceptive treatments could be modified to augment the degree of suppression. Broadly, these studies have exploited paradigms similar to those being considered for human clinical application. Overall, there are striking similarities between the data from man and monkeys and several directions for further research have emerged.

#### A. PRIMATE STUDIES

The nonhuman primate is an excellent model of human spermatogenesis sharing very similar hormonal dependencies and structural patterns. Studies are very demanding and expensive but allow experimental paradigms not possible in man (e.g., sequential testis biopsies). We have explored their use in studies aimed at understanding the basis of hormonal contraception, namely, T treatment, either alone or in combination with progestins.

#### 1. Testosterone Treatment

We administered T to adult macaque monkeys using subcutaneous implants for 20 weeks, which provide moderately supraphysiological serum levels in order to suppress gonadotropins. Then, we determined germ cell populations using the *optical disector* stereological method (O'Donnell *et al.*, 2001a). In all animals, the only acute decrease in germ cell numbers observed was a fall in A pale spermatogonia to 45% of baseline within 2 weeks. The subsequent depletion of later germ cells was manifest by a decline in type B spermatogonia (32–38% baseline) and spermatocyte/spermatid numbers (20–30% baseline) after 14 and 20 weeks. While there was evidence of some minor losses of spermatocytes and spermatids, the reduction in later germ cell types was primarily attributed to a decrease in the conversion of type A pale  $\rightarrow$  B spermatogonia. Type B spermatogonia were more markedly suppressed in those animals becoming azoospermic, compared to those who did not. Therefore, the conversion of type A pale  $\rightarrow$  type B spermatogonia may be a key point in determining the degree of contraceptive efficacy.

A second observation was the abnormal retention of mature elongated spermatids in some monkeys after long-term T administration (O'Donnell *et al.*, 2001a). The number of retained spermatids was negatively correlated with sperm count in the ejaculate, suggesting that failure of spermiation contributes to the extent of sperm count suppression during chronic T treatment in monkeys. Thus, it is clear that both the inhibition of A pale and B spermatogonial development and inhibition of spermiation are the major defects caused by long-term T administration to monkeys. These observations align closely with those seen in humans (see below) but show some differences compared to rodents (Figure 2).

A number of endocrine parameters were investigated as potential markers that might differentiate animals that did or did not achieve azoospermia. Serum bioactive FSH was found to be the only endocrine marker of this effect that was significantly lower in azoospermic animals (A. Narula, Y.-Q. Gu, L. O'Donnell, P. Stanton, D. Robertson, R. McLachlan, W. Bremner, submitted), which correlated with the lower numbers of B spermatogonia in these animals (O'Donnell *et al.*, 2001a). These observations support a key role for FSH in spermatogonial development and emphasize the need for FSH suppression in

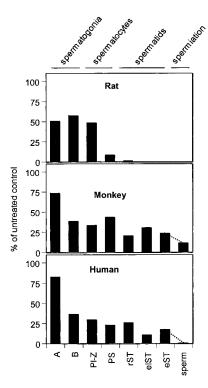


FIG. 2. Comparison of the progression of germ cells through spermatogenesis in rats (upper panel), monkeys (middle panel), and men (lower panel) after 12–14 weeks of combined gonadotropin (LH and FSH) suppression. Germ cell numbers were determined in whole testes in rats and in biopsy material obtained from open testicular biopsies in monkeys and men, using the optical disector stereological approach. Data were expressed on a per-testis or per-Sertoli cell basis. Data in rats are modified from Meachem et al., 1998, in which adult Sprague Dawley rats (n = 6) were immunized against GnRH for 12 weeks, the germ cell populations determined, and expressed as a percentage of a control group of placebo-treated rats (n = 6). Data in monkeys are modified from O'Donnell et al., 2001a, in which adult Macaca fascicularis (n = 9) were administered testosterone implants for 14 weeks. Germ cell populations were determined and expressed as a percentage of germ cells in pretreatment biopsies. Data in humans are modified from our own data on men (n = 5) receiving weekly injections of 200 mg T enanthate for 12 weeks. The germ cell data are expressed as a percentage of an untreated control group (n = 5) (McLachlan et al., in press). Abbreviations: A, type A spermatogonia; B, type B spermatogonia; PL-Z, preleptotene-zygotene spermatocytes; PS, pachytene spermatocytes; rST, round spermatids; elST, elongating spermatids; eST, elongated spermatids. The dashed line between elongated spermatids (eST) and sperm represents the difference between the number of spermatids in the testis prior to release and the number in the ejaculate, in order to provide insights into the possibility of spermiation failure. These data obtained for monkeys and men after 14 and 12 weeks of gonadotropin suppression respectively, are comparable to the extent of spermatogenic suppression in monkeys and men after 20-24 weeks of gonadotropin suppression (Zhengwei et al., 1998b; O'Donnell et al., 2001a), and thus appear to represent steady-state suppression.

contraceptive regimens. A similar conclusion that FSH is an important factor in spermatogenic inhibition in T-treated monkeys was recently drawn by Weinbauer and colleagues (2001). Interestingly, in our study, serum inhibin B levels (a potential marker of the Sertoli cell-spermatogenic relationship) declined to about 40% of baseline but did not differ between azoospermic and non-azoospermic monkeys (A. Narula, Y.-Q. Gu, L. O'Donnell, P. Stanton, D. Robertson, R. McLachlan, W. Bremner, submitted). Testicular androgens also did not differ between groups. However, it was noted that, despite a marked decrease in testicular T concentrations after exogenous T administration, the levels of  $5\alpha$ -reduced androgenic metabolites were maintained at control levels, suggesting an upregulation of the  $5\alpha$ -reductase enzyme in the LH-deprived primate testis (see below).

#### 2. GnRH Antagonist Treatment

Our studies of monkeys given a GnRH antagonist for 21 days revealed similar spermatogenic sites for gonadotropin suppression, namely, the conversion of type A pale  $\rightarrow$  B spermatogonia and elongated spermatid retention (Zhengwei *et al.*, 1998c). This suggests that GnRH antagonist and moderately supraphysiological T treatment have similar effects on primate spermatogenesis.

#### **B. HUMAN STUDIES**

#### 1. Testosterone Treatment

Testosterone treatment only leads to azoospermia in ≈70% of normal men and to variable degrees of oligospermia in the remainder. However, the basis of this variable response is unclear (World Health Organization, 1990,1996; Handelsman et al., 1995). In order to ascertain the changes in germ cell populations during T treatment, we undertook a stereological assessment of spermatogenesis in men receiving the same contraceptive regimen as used in the WHO multicentre trial (World Health Organization, 1990). Ten normal, fertile men, already planning to undergo vasectomy, received T enanthate (200 mg intramuscularly (im) weekly) for  $\approx 20$  weeks prior to testicular biopsy (Zhengwei *et al.*, 1998b). Type B spermatogonia fell markedly to 10% of the untreated control group and later germ cell types to 11–18% of controls. Despite the presence of elongated spermatids (0.6-20% of control) in the testis, four men became azoospermic. Two T-treated subjects with similar early germ cell complements and elongated spermatids numbers had sperm counts of <0.1 and 21 million/ml. The latter man demonstrated marked variability in germ cell numbers between adjacent tubules. Overall, we concluded that the principal spermatogenic lesion in T-treated men is the marked inhibition of type  $A \rightarrow B$  spermatogonial maturation, although other sites were also affected, particularly the release of elongated spermatids. It was also striking that a similar degree of gonadotropin withdrawal was associated

with widely variable spermatogenic patterns, both between and within individuals, the latter being evident in different histological patterns between adjacent tubules, despite exposure to presumably an identical endocrine milieu (Zhengwei *et al.*, 1998b).

### 2. Testosterone + Progestin: Effects on Spermatogenesis and Reproductive Endocrinology

We have also examined the proposition that the co-administration of a progestin with T enhances the suppression of spermatogenesis. We hypothesised that the greater speed and/or extent of suppression of germ cell number would be correlated with an enhanced suppression of serum gonadotropins and/or testicular androgens levels. Normal, fertile men received either T enanthate 200 mg im weekly alone or in combination with the depot progestin, medroxyprogesterone acetate (DMPA) (McLachlan et al., in press) for 2, 6, or 12 weeks prior to stereological assessment of testis biopsy material. The inclusion of DMPA led to a more rapid fall in serum FSH/LH levels, achieving nadir levels in about half the time. Yet, the mean time to sperm count below 1 million/ ml (around 25 days) and the maximum extent of FSH/LH suppression (mean serum FSH 1.2–1.6%, and mean LH 0.2–0.3% of baseline) did not differ. In both groups, intratesticular T levels declined similarly to  $\approx 2\%$  of control levels but, as in monkeys, the testicular  $5\alpha$ -reduced androgens dihydrotestosterone (DHT) and  $5\alpha$ -androstane- $3\alpha 17\beta$ -diol (Adiol) did not fall significantly. The only difference in germ cell numbers was seen at 2 weeks, when type B spermatogonia and early spermatocytes were significantly lower in the T enanthate + DMPA group, presumably reflecting the lower gonadotropin levels at this time. In the longer term, a marked inhibition of A pale  $\rightarrow$  B spermatogonial maturation was seen, along with a striking inhibition of spermiation, but no difference was seen in germ cell suppression with or without DMPA.

In summary, it is clear that spermatogonial inhibition is a consistent feature of both acute and chronic gonadotropin withdrawal in these contraceptive models. However, spermiation inhibition is also striking within the first month of treatment and appears to be a major determinant of sperm output. Despite marked reductions in spermatogonia and subsequent germ cells, appreciable germ cell development (10–30% of normal) continues even after long-term gonadotropin suppression (Figure 2), in comparison to a similar milieu in rats. What factors account for this? Androgen action may support some degree of germ cell development by virtue of the persistence of testicular DHT and Adiol levels. The fact that T administration with or without added progestin is associated with measurable levels of FSH (Robertson *et al.*, 2001) may suggest some FSH-mediated maintenance of spermatogenesis. Yet, to date, a relationship has not

been seen between the achievement of either azoospermia or oligospermia and residual serum FSH levels in contraceptive trials (Handelsman *et al.*, 1995).

#### IV. Current Studies Characterising the Mechanisms of Regulation of Hormone-sensitive Sites in Spermatogenesis

The above studies on the role of FSH and/or T in the suppression and restoration of adult rat spermatogenesis indicate that both hormones have independent and synergistic effects on germ cell development. Our further studies in primates and humans have demonstrated the relevance of several of these processes – notably, spermatogonial development, sperm release, and testicular androgen biosynthesis – to the contraceptive-treated man. The comparison between rat, monkey, and human spermatogenesis after long-term gonadotropin suppression reveals the similarities between monkeys and humans and the similarities and differences between rats and primates (Figure 2). The identification of hormone-dependent steps has led to further research into the molecular mechanisms by which these processes are regulated. The next section discusses the focus of our more recent work on specific processes in spermatogenesis.

#### A. REGULATION OF SPERMATOGONIAL DEVELOPMENT

Spermatogonial stem cells provide a mitotically active lineage committed to both differentiation and renewal of the stem cell population. Both stem cells and differentiating spermatogonia are difficult to study due to their small populations and the lack of morphological and biochemical/molecular markers for identifying their various developmental phases. To date, their basal position and nuclear morphology, together with their stage associations, are the main features used to distinguish each spermatogonial subclass. Three models of spermatogonial renewal have been proposed in rodents (Meistrich and van Beek, 1993), with four subclasses of rat type A spermatogonia (denoted A1-4) as well as intermediate and type B spermatogonia (Clermont, 1972). In the monkey and human, there are two morphologically distinct type A spermatogonial subtypes, A dark (Ad) and A pale (Ap), as well as type B spermatogonia (Clermont, 1972) (Figure 3). Type Ap are proposed to divide to give rise to type B as well as to renew their own population (Clermont, 1969; van Alphen et al., 1988a,b; Schlatt and Weinbauer, 1994). Type Ad are considered to be the nonproliferative reserve spermatogonial population (Clermont, 1969; van Alphen et al., 1988a,b; Schlatt and Weinbauer, 1994) that may be able to undergo transition to Ap following testicular insult, thereby allowing repopulation of the testis (van Alphen et al., 1988a,b). Ap have been suggested to be the true stem cell of the testis because Ap (not Ad) are seen in humans after radiation (Schulze, 1979), after long-term estrogen therapy, and

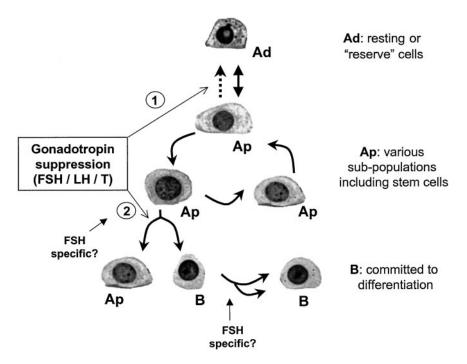


FIG. 3. Hypothesis of the effects of gonadotropin suppression on spermatogonial subtypes in primates. There are three subtypes of spermatogonia, type A pale (Ap) and A dark (Ad) spermatogonia and type B spermatogonia. Ap spermatogonia divide in the later stages of the spermatogenic cycle to produce type B spermatogonia as well as to renew their own population (indicated by the branched arrow). It is likely that different subtypes exist within the Ap category. Since a proportion of Ap spermatogonia are considered to be the true "stem cells" of the testis, there must be subpopulations of stem cell Ap spermatogonia as well as Ap spermatogonia that will give rise to B spermatogonia. Ad spermatogonia rarely divide and are considered to be "resting" or "reserve" stem cells. Type B spermatogonia are produced from the final mitosis of Ap spermatogonia and thus are considered as committed to differentiation. Type B spermatogonia then undergo a series of mitotic divisions (indicated by the branched arrow) before entering meiosis. It should be noted that the exact number of divisions is not indicated. Gonadotropin suppression, in which FSH, LH, and testicular T are suppressed, produces changes in these spermatogonial subtypes. However, in these suppression models, it is not possible to dissect the specific effects of each hormone (see section IV-A). Our data in monkeys and men suggest that gonadotropin suppression causes the "transdifferentiation" of Ap to Ad spermatogonia (dashed arrow), since increases in Ad and decreases in Ap are evident. Gonadotropin suppression also causes an inhibition of the final mitosis of Ap to B spermatogonia, which then leads to decreases in B spermatogonial numbers. This disruption of the final mitosis of Ap spermatogonia may also explain the fact that Ap spermatogonial number decrease with increasing time of gonadotropin suppression. There is evidence for FSH-specific effects (indicated by the arrow) on both the division of Ap into B spermatogonia and within the type B spermatogonial population (see section IV-A). This diagram shows primate (*Macaca fascicularis*) spermatogonia but is applicable to human spermatogonia. We believe it is likely that some species differences exist in the relative sensitivities of each spermatogonial type to gonadotropin/FSH suppression (see section IV-A).

in the postpubertal cryptorchid testes (Schulze, 1981). Various studies have suggested that Ap can undergo transition without division into Ad (Fouquet and Dadoune, 1986; van Alphen *et al.*, 1988a,b).

Following FSH/LH withdrawal, type Ap spermatogonia are the first cells to decrease in monkeys, followed by a subsequent decrease in B spermatogonia (O'Donnell *et al.*, 2001a). In man, type B spermatogonia are the first cells to decrease, followed by decreases in type Ap (McLachlan *et al.*, in press). The basis for this species difference is unclear but may relate to different sensitivities of Ap and B spermatogonia to gonadotropin suppression. The fall in the number of type B spermatogonia could be due to an inhibition of Ap spermatogonial mitosis, such as was demonstrated in GnRH antagonist-treated monkeys (Schlatt and Weinbauer, 1994), or by a direct effect on B spermatogonial mitosis (Figure 3).

Studies in cynomolgus monkeys showed that short-term (i.e., 2 weeks) T administration caused a decrease in type Ap spermatogonia, an increase in Ad spermatogonia, while type B spermatogonia were unchanged (O'Donnell et al., 2001a). This suggests gonadotropin withdrawal results in type Ap spermatogonia ceasing to proliferate into B spermatogonia but instead differentiating into Ad spermatogonia (Figure 3), as has been suggested by others (Fouquet and Dadoune, 1986). Studies in rhesus monkeys have shown decreases in Ad spermatogonia and increases in B spermatogonia in response to FSH treatment in juvenile monkeys (Ramaswamy et al., 2000b) or in response to the FSH rise induced by unilateral castration (Ramaswamy et al., 2000a). These data support our contention that Ap spermatogonia can produce B spermatogonia upon gonadotropic (presumably FSH) stimulation but can be shunted to "resting" Ad spermatogonia in the absence of such stimulus (Figure 3). Our studies on T-induced gonadotropin suppression in monkeys and man do not allow us to dissect out the relative effects of FSH versus LH/T suppression on spermatogonial subtypes in these species. However, other studies have administered FSH to monkeys to show that FSH alone can increase B spermatogonia (van Alphen et al., 1988c; Marshall et al., 1995; Ramaswamy et al., 2000b), suggesting that some or all of the effects of gonadotropin suppression on spermatogonia is due to the loss of FSH (Figure 3). Certainly, our data in rats would suggest that spermatogonia are regulated primarily by FSH (McLachlan et al., 1995; Meachem et al., 1998,2001).

In fact, there has been little support for the notion that sex steroids or Leydig cell factors stimulate spermatogonial development. In the rat, we found no evidence that T supports spermatogonial development after long-term gonadotropin depletion (Meachem *et al.*, 1997,1998). Conversely, we have suggested that high serum T levels produced by exogenous T administration inhibits the restoration of spermatogonial number (Meachem *et al.*, 1997,1998). Consistent with this, others have provided evidence that high testicular T levels are

detrimental to spermatogonial development (Meistrich and Kangasniemi, 1997) and that suppression of testicular T levels is required to promote spermatogonial development in the irradiated rat and in the juvenile spermatogonial depletion (jsd) mutant mouse (Matsumiya *et al.*, 1999).

#### B. THE REGULATION OF SPERMIOGENESIS

Several studies have demonstrated that T is critical for spermiogenesis (Awoniyi *et al.*, 1989b; Sun *et al.*, 1989; McLachlan *et al.*, 1994a; O'Donnell *et al.*, 1994). As described in Section IIA and Figure 4, suppression of intratesticular T levels impairs the conversion of step  $7 \rightarrow 8$  round spermatids due to the premature detachment of step 8 round spermatids from the epithelium. These cells are subsequently found in the epididymis, where they degenerate (O'Donnell *et al.*, 1996a). Based on these data, we (McLachlan *et al.*, 1996; O'Donnell *et al.*, 1996a) and others (Cameron *et al.*, 1993) have hypothesised that androgens regulate adhesion between Sertoli cells and step 8 round spermatids, either via effects on the cell adhesion molecules (CAMs) located between the two cell types, or on the intracellular junctional apparatus located in the Sertoli cell.

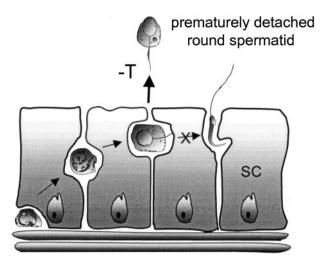


FIG. 4. Diagram of the effects of testicular testosterone (T) suppression by TE treatment on the association between step 8 round spermatids and the seminiferous epithelium. Testicular T suppression causes step 8 round spermatids to lose their attachment from the Sertoli cell (SC) within 3 weeks of testicular T suppression. The round spermatids proceed to the epididymis, where they degenerate (O'Donnell *et al.*, 1996a). Thus, round spermatids prematurely detach and are unable to complete their elongation into the mature spermatid form, as indicated by the cross. This detachment can be reversed by 4 days of high-dose T replacement (O'Donnell *et al.*, 1994).

The contribution of FSH to round spermatid adhesion in this rodent model is expected to be permissive rather than regulatory, as FSH levels remain near normal in the above rodent model. However, it is well known that the intracellular organisation of various Sertoli cell cytoskeletal proteins is FSH dependent (Muffly *et al.*, 1994). *In vitro* evidence has shown that FSH as well as T is required for adhesion between rat Sertoli cells and purified round spermatids (Cameron and Muffly, 1991; Perryman *et al.*, 1996).

Coincident with the appearance of step 8 round spermatids in the normal epithelium is the formation of an adjacent specialised Sertoli cell junctional apparatus called the ectoplasmic specialisation (ES) (see Vogl *et al.*, 2000, for a recent review), which remains during the elongation process and is removed just prior to spermiation. The ES comprises the Sertoli cell plasma membrane, a layer of hexagonally packed, noncontractile actin filaments and an underlying endoplasmic reticulum (Russell *et al.*, 1988; Vogl *et al.*, 2000). The ES is a hormone-sensitive structure, as it is disorganised in hypophysectomised adult rats (Muffly *et al.*, 1993) and can be restored by treatment with FSH (Muffly *et al.*, 1994). We postulated that the detachment of step 8 round spermatids when testicular T levels are low may have been due to the absence of the ES but have recently found that the actin-containing intracellular domain associated with the ES remains qualitatively normal under these circumstances (O'Donnell *et al.*, 2000). This evidence suggests that the androgen-dependent lesion leading to detachment of step 8 round spermatids may lie in the intercellular CAM domain.

Despite extensive morphological data describing the ES, little is known about the identity(ies) or regulation of CAMs at this junction. Linkages between Sertoli cells and the spermatid acrosome have been observed by electron microscopy (Russell et al., 1988), which presumably contribute to a strong adhesive domain as mechanical disruption of the seminiferous epithelium results in spermatids with attached fragments of Sertoli cell cytoplasm containing ES (Romrell and Ross, 1979). One candidate CAM is  $\alpha 6\beta 1$ -integrin, which has been immunolocalised to the junction between Sertoli cells and both round and elongating spermatids (Palombi et al., 1992; Salanova et al., 1995; Mulholland et al., 2001) and may be involved in a signaling complex with integrin-linked kinase (Mulholland et al., 2001). Other data support an involvement for CAMs from the cadherin (Byers et al., 1994; Wine and Chapin, 1999) and protocadherin (Johnson et al., 2000) families. We have demonstrated that N-cadherin production by Sertoli cells in vitro is dose dependent for T in the presence of FSH (Perryman et al., 1996). As an N-cadherin-specific antibody will also block androgen-stimulated adhesion between Sertoli cells and isolated round spermatids in vitro (Perryman et al., 1996), N-cadherin may be one of the CAMs that subserves this process. Proof of the importance of the androgenic regulation of CAM function in round spermatid adhesion in vivo is lacking. However, we have recently demonstrated that the mRNAs for  $\beta$ 1-integrin, N-cadherin, and  $\beta$ -catenin are all significantly upregulated by T replacement in the rat model (P.G. Stanton, N.F. Cahir, L. O'Donnell, D.M. Robertson, unpublished data), high-lighting their potential significance.

In contrast to the T-deficient rat, there is no evidence to support a major midspermiogenic lesion in monkeys or man following suppression of gonadotropins (Zhengwei *et al.*, 1998b,c; O'Donnell *et al.*, 2001a) (Figure 2). Although some round spermatids are seen in ejaculates of men following gonadotropin withdrawal, their number is low and does not correlate with the rapid fall in sperm count (Zhengwei *et al.*, 1998a). However, it is important to note that T treatment suppresses both LH and FSH in man, a situation that is analogous to the GnRH-immunised rat, where spermiogenesis is fully suppressed prior to the production of step 8 spermatids (see Figures 1 and 2). Against this background, an appreciable degree of step 8 round spermatid detachment would not be apparent.

### C. THE COMBINED ROLE OF FSH AND TESTOSTERONE IN THE REGULATION OF SPERMIATION

Although normal spermiation is clearly important for determining the sperm output from the testis, relatively little is known of the molecular control of this process. Immunocytochemical localisation studies have revealed the presence of several cell adhesion molecules and their associated proteins encompassing the spermatid head prior to release, such as N-cadherin and catenin (Wine and Chapin, 1999), \(\beta\)1-integrin (Palombi et al., 1992; Salanova et al., 1995; Mulholland et al., 2001) and its associated kinase integrin-linked kinase (ILK) (Mulholland et al., 2001). Other cytoskeletal and signaling molecules are present in the Sertoli cell at this stage (Wine and Chapin, 1999), which may be important in the control of adhesion between the spermatid and the Sertoli cell as well as for the subsequent disengagement of the spermatid during spermiation. Several lines of data suggest that sperm release is mediated by the Sertoli cell and that FSH and T activate similar pathways. These include 1) spermiation in rats in vivo appears to be regulated synergistically by FSH and T (Saito et al., 2000); 2) only Sertoli cells contain the receptors for these hormones; and 3) mature elongated spermatids are transcriptionally inactive.

Given that little is known of the molecular processes controlling normal spermiation, the regulation of spermiation failure is equally unclear. Marked spermiation failure occurs within a matter of days after hormone suppression, suggesting that the loss of FSH and T action on Sertoli cells either results in the loss of a "spermiation signal" and/or the initiation of processes required for spermatid retention. The fact that spermatids and Sertoli cells seem to interact via CAMs, and that most of the morphological events leading up to spermatid disengagement appear relatively normal on light microscopy during spermiation failure (A. Beardsley,

L. O'Donnell, unpublished data), leads us to speculate that it is the actual disengagement (i.e., loss of adhesion) process that is impaired during spermiation failure, as has been speculated by others (Wine and Chapin, 1999).

Thus, it seems that the Sertoli cell fails to release the spermatid and phagocytosis then follows. Our efforts are now focused on characterising the changes in the expression, localisation, and phosphorylation status of putative spermiation-associated molecules, including CAMs, related downstream signaling molecules and kinases, both in normal rats and in those in which spermiation failure has been induced.

Further studies on the hormonal regulation of spermiation in humans are necessary to understand the relative sensitivities of this process to FSH and T suppression and whether various contraceptive regimes have differential effects on spermiation. The hypothesis that more profound suppression of gonadotropins would be more likely to lead to spermiation failure is supported by studies using combined T plus progestin contraceptive regimes in which the rapid suppression of sperm counts (<6 weeks) was seen (Meriggiola *et al.*, 1996). It remains to be seen whether spermiation failure contributes to the heterogeneity in the suppression of sperm counts: Do men who remain oligospermic do so because spermiation failure does not occur? Further consideration ought be given to contraceptive formulations that target spermiation in humans, as they may provide more rapid and effective suppression of sperm count.

### D. THE ROLE OF $5\alpha$ -REDUCED ANDROGENS IN REGULATING SPERMATOGENESIS

Our previous studies (O'Donnell *et al.*, 1999) showed that blockade of androgen action in the testis by the administration of the AR antagonist, flutamide, increased the production of testicular  $5\alpha$ -reduced metabolites, such that a significant increase in the concentration of testicular DHT and Adiol was observed, compared to vehicle-treated animals. This increase in  $5\alpha$ -reduced metabolites occurred in the absence of changes in testicular T or serum LH, suggesting that T may negatively regulate the  $5\alpha$ -reductase ( $5\alpha$ R) enzyme. This was particularly interesting in view of the fact that exogenous T treatment to monkeys and men causes a marked decrease in testicular T levels, yet a maintenance of testicular  $5\alpha$ -reduced androgens (see section III). These studies prompted further examination of testicular  $5\alpha$ -reductase expression and regulation.

Two  $5\alpha R$  genes – termed type 1 ( $5\alpha R$ -1) and type 2 ( $5\alpha R$ -2) – have been identified in humans and rats (for a review, see Russell and Wilson, 1994).  $5\alpha R$ -1 has a micromolar affinity for steroid substrates and a broad neutral pH range of activity, whereas  $5\alpha R$ -2 has a nanomolar affinity for steroid substrates and optimum activity at pH 5.0 (Normington and Russell, 1992). The cellular site and

type of  $5\alpha R$  isoform expressed in the rat testis is unclear, with conflicting evidence to show that either  $5\alpha R-1$  or  $5\alpha R-2$  is the predominant enzyme expressed in the testis (Normington and Russell, 1992; Viger and Robaire, 1995). Our studies show that both isoenzymes are present in the testis but that  $5\alpha R-1$  is the predominant form (Pratis *et al.*, 2000).

To examine the role of the  $5\alpha R$  in the regulation of rat spermatogenesis, we studied the restoration of spermiogenesis following T treatment in the presence or absence of a  $5\alpha R$  inhibitor. When submaximal levels of T were administered ( $\leq 10$ -cm implants), the co-treatment with a  $5\alpha R$  inhibitor impaired the restoration of step 8 round spermatids (O'Donnell *et al.*, 1996b) and, subsequently, the production of mature spermatids (O'Donnell *et al.*, 1999).

In humans, there is evidence to suggest that the inability of T-based contraceptive regimens to consistently reach azoospermia is due to differences in  $5\alpha R$  activity between subjects. Anderson and colleagues (1996) showed that, in response to T enanthate, plasma DHT levels increased in men, compared to pretreatment. Interestingly, plasma DHT levels in men achieving oligospermia were significantly greater than those men who achieved azoospermia, despite no differences being seen in plasma T levels, presumably reflecting their higher  $5\alpha R$  activity. These findings provide evidence to suggest that ongoing low levels of sperm production in oligospermic men may be due to upregulation of  $5\alpha R$  activity in the reproductive tract.

To summarise, data in rats (O'Donnell *et al.*, 1999; and K. Pratis, L. O'Donnell, G. Ooi, P. Stanton, R. McLachlan, D. Robertson, unpublished data) and humans (Anderson *et al.*, 1996,1997) suggest that  $5\alpha$ -reduction of T to DHT in a setting of reduced testicular T concentrations (i.e., during contraceptive administration), via up-regulation of the  $5\alpha$ R enzyme, may provide an increased androgen stimulus to promote low levels of sperm production in the presence of reduced concentrations of gonadotropins. The preferred pathway of androgen action may depend on the concentration of intratesticular T (Figure 5). In the normal testis, we suggest that T would be the preferred ligand for the androgen receptor; however, during suppression of intratesticular T, the more potent androgen DHT would be the preferred ligand.

Two recent human clinical trials have employed a  $5\alpha R$  inhibitor during T-based hormonal contraception in order to see whether better contraceptive suppression is achieved. The  $5\alpha R$ -2 specific inhibitor, finasteride, was coadministered with T pellets (McLachlan *et al.*, 2000) or T pellets in combination with desogestrel (Kinniburgh *et al.*, 2001). Both studies showed that the addition of finasteride did not enhance spermatogenic suppression. Although these studies do not support the proposition that the inclusion of  $5\alpha R$  inhibitors assists in obtaining consistent azoospermia, it must be noted that inhibition of both  $5\alpha R$ -1 and  $5\alpha R$ -2 (by combined single or dual inhibitors) may provide a better alternative to finasteride.

# (a) Normal testis (b) T-suppressed testis LH Leydig cell **Testosterone** Androgen action in DHT the testis Sperm production

FIG. 5. Androgen action in normal and testosterone-suppressed testes. (A) In the normal testis, LH stimulation of Leydig cell steroidogenesis provides the testis with high local concentrations of testosterone, to act directly on the AR to maintain sperm production. (B) In the testosterone-suppressed testis, the low concentration of testosterone is unable to maintain spermatogenesis. However, conversion of testosterone to the more potent androgenic DHT, via the  $5\alpha R$  enzyme, allows low-level sperm production, despite gonadotropin and testosterone suppression.

### E. RELEVANCE TO RESEARCH INTO MALE FERTILITY REGULATION

Our present view of potential sites for hormonal manipulation of spermatogenesis centre on two steps in the process: the inhibition of spermatogonial replication and maturation, and spermiation failure. It appears that FSH withdrawal is critical for the former, while both FSH and T are critical for the latter. It is apparent, however, that the inhibitory processes involved in both cases are poorly understood, leading to variability in degree and time to full suppression.

Wider testing of hormonal contraception with regimens including T plus progestin is underway worldwide and is likely to provide effective contraception in >95% of men. After the "proof-of-concept" work undertaken over recent years by public sector agencies, notably WHO and Contraceptive Research and Development Program, funded by the U.S. Agency for International Development, there is now pharmaceutical interest in product development. However, the fundamental goal of achieving uniform induction of azoospermia has not been achieved. Furthermore, differences between men in the speed of induction and recovery of spermatogenesis remain unexplained.

Our future studies will be directed to clarifying the underlying factors responsible for this variability, with the following hormonal and spermatogenic issues being considered worthy of study:

- 1. The need for the suppression of serum gonadotropins at a maximum rate and as profoundly as possible. Better suppression of gonadotropins is likely to be important for a more rapid onset of suppression of sperm counts (due to the induction of spermiation failure) and a more uniform induction of azoospermia. It is clear that sperm count suppression is poorer when gonadotropins are only partially suppressed (Matsumoto, 1990; Handelsman *et al.*, 1996; McLachlan *et al.*, 2000). However, the hypothesis that failure to fully suppress serum gonadotropins (i.e., to <5% of baseline) correlates with the failure to achieve azoospermia has so far not been borne out (Handelsman *et al.*, 1995). Alternative agents that more profoundly suppress gonadotropin levels, such as specific inhibitors and receptor antagonists, may be useful in this respect.
- 2. As discussed above, there is a need to consider larger trials of  $5\alpha R$  inhibitors in conjunction with contraceptive formulations. Combined type 1 and  $2.5\alpha R$  inhibition would ensure more profound suppression of testicular androgen action, which may be important for the maintenance of spermatogenesis and is likely to be beneficial for the prevention of adverse androgen-dependent effects, particularly on prostate and skin.
- 3. Finally, a better understanding of the molecular mechanisms of spermiation may allow the design of strategies to specifically block sperm release, thereby providing for a more rapid onset of effectiveness, which would be desirable in clinical practice. Further understanding of spermatogonial prolifer-

ation and differentiation is needed to allow the development of strategies to more profoundly inhibit the entry of spermatogonia into the spermatogenic process. Such therapies are necessary for the more uniform induction of azoospermia, as indicated in monkeys.

#### V. Conclusions

We have used a range of rat, monkey, and human models to show that FSH and androgens act both separately and synergistically to support a range of key events in spermatogenesis, from spermatogonial stem cell division through to final sperm release. All of these events have been demonstrated using objective and quantitative techniques of germ cell enumeration. We continue to examine the basic mechanisms of these specific hormonal-dependent events using biochemical and molecular approaches. Through identification of these processes, new developments in male hormonal contraception can be expected. The majority of idiopathic male infertility is most likely due to genetic factors, with little or no hormonal basis (de Kretser et al., 2000). But it must be stressed that a better understanding of the physiology of normal spermatogenesis will provide valuable leads in areas such as infertility due to the failure of stem cell proliferation or of germ cells to mature beyond a particular developmental point. Knowledge of the regulation of normal spermatogenesis will facilitate the interpretation of genotype-phenotype relationships in male infertility and permit the definition of new diagnostic categories and perhaps treatments in this difficult field.

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